



## Abandoned and Unclaimed Property

### CAAR: Corporate Action Asset Recovery

Ryan is recognized as having one of the largest and most proficient Abandoned and Unclaimed Property (AUP) practices in the country. With our recent expansion into Search and Location services, Ryan is now distinguished as the most comprehensive provider of unclaimed property solutions.

After a mandatory corporate action such as a merger, acquisition, or reverse stock split, up to 20% of registered shareholders may fail to exchange their shares. The reasons for this oversight vary:

- Confusion over the complexity of the transmittal letter and/or legal requirements
- Inability or refusal to pay the lost certificate bonding fee
- Inaccurate address of record
- Shareholder is deceased, and the heir is unaware of the asset ownership

These investors risk asset escheatment because they have failed to take the required action. To compound the problem, they are unable to liquidate or transfer their shares since they have not properly exchanged their stock.

The Corporate Action Asset Recovery (CAAR) program identifies the issue that is hindering an exchange. Our specialists work with the shareholder in an effective and yet respectful manner, representing our client's interests with a thorough approach. We explore the nature of the situation for each unexchanged shareholder and determine the best course of action to achieve resolution.

Ryan's CAAR program is a recent addition to our nationally recognized AUP practice under our Search and Location services. Ryan's AUP practice is comprised of former unclaimed property auditors, certified public accountants, certified fraud examiners, and attorneys. Our Search and Location experts employ proven methodologies to data-mine, locate, and assist claimants in recovering their unclaimed property. In doing so, we mitigate client risk and protect client profitability.

"RYAN PROVIDED VALUABLE INSIGHT AND GUIDANCE THROUGHOUT THE AUDIT PROCESS. THEY LEVERAGED THEIR RELATIONSHIPS WITH THE STATES, AUDITORS, AND TRANSFER AGENTS TO EXPEDITE THE PROCESS AND ENSURE AN ACCURATE OUTCOME FOR OUR COMPANY."



**Kathryn Murray,**  
Senior Director, Corporate and Securities



### Ryan's comprehensive suite of AUP services includes:

- Asset recovery
- Abandoned and unclaimed property voluntary disclosures
- Escheat audit support
- Outsourcing annual compliance
- Policies and procedures
- Process review
- Risk assessment
- TRACKER® compliance software
- Transactional and gift-card planning

## Choose from Ryan's Comprehensive and Integrated Services

- Quantitative Risk Assessments
- Unclaimed Property State Audit Assistance
- Voluntary Disclosures/Government Relations
- Identification and Application of Statutory Exemptions and Deductions
- Compliance and Outsourcing Services
- Due Diligence Mailings
- Report Preparation
- Payment to Applicable Jurisdictions
- Business Process Improvement and Change Management
- Customized Policies and Procedures
- Technology and Systems Analysis
- Maturity Model and Corporate Governance Analysis
- Owner Search and Location Services
- Address Verification Services
- Corporate Asset Recovery
- In-house and/or Web-based Unclaimed Property Training
- Legislative and Case Law Tracking Services



***“Ryan is a valuable partner to our company whose opinions are held in high regard. They respond to our needs quickly and have helped move our audits forward with positive outcomes. They are truly an extension of our team who cannot be replaced.”***

**Unclaimed Property Administrator**  
Fortune 500 Global Travel Brand

## About Ryan

Ryan is an award-winning global tax services firm, with the largest indirect and property tax practices in North America and the seventh largest corporate tax practice in the United States. With global headquarters in Dallas, Texas, the Firm provides a comprehensive range of state, local, federal, and international tax advisory and consulting services on a multi-jurisdictional basis, including audit defense, tax recovery, credits and incentives, tax process improvement and automation, tax appeals, tax compliance, and strategic planning.

Ryan is a five-time recipient of the International Service Excellence Award from the Customer Service Institute of America (CSIA) for its commitment to world-class client service. Empowered by the dynamic *myRyan* work environment, which is widely recognized as the most innovative in the tax services industry, Ryan's multi-disciplinary team of more than 2,100 professionals and associates serves over 12,000 clients in more than 40 countries, including many of the world's most prominent Global 5000 companies. More information about Ryan can be found at [ryan.com](http://ryan.com).

### Award-Winning Tax Services

1.855.RYAN.TAX  
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